

TD Ameritrade Singapore Pte. Ltd.

The only orders eligible for execution via exchange systems are small public client market, or marketable limit orders. Eligible public client orders are defined as orders for an account in which a member or nonmember broker/dealer does not have an interest. Orders for more than the applicable contract limit are never eligible for execution via the exchange systems. Orders for broker/dealers (BDs) are never eligible for electronic execution through exchange systems; however, these may be routed into the exchange electronically.

- 1.) It is a violation of U.S. option exchange rules to transmit orders that have been created and communicated electronically without manual intervention.
- 2.) It is a violation of U.S. option exchange rules to transmit an order for a BD account or an account in which a BD has a beneficial ownership interest unless the order is properly marked as a BD order.
- 3.) It is a violation of option exchange rules for a client, acting alone or in concert with others, to send an order(s) to an exchange in order to manipulate the execution price of a separate order on the same exchange or another exchange.
- 4.) It is a violation of option exchange rules to enter multiple orders to trade the same option issue that is on the same side of the market for the same beneficial owner within any 15-second period, either in one account or multiple accounts in which the same client has a beneficial interest.
- 5.) It is a violation of option exchange rules for a client to hold himself out as a market maker essentially willing to buy and sell securities on a regular or continuous basis. For determining whether a client is operating as a market maker, the exchange will consider simultaneous or near simultaneous entry of limit orders to purchase or sell the same security, entry of multiple limit orders at different prices in the same security, and the acquisition and liquidation of positions in the same security in the same day.

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Please log in to your account and ensure all account information is accurate.

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