TD Ameritrade

Margin Disclosure Statement

TD Ameritrade Singapore Pte. Ltd.

TD Ameritrade Singapore Pte. Ltd. and/or clearing and custodial firm, collectively “the firm,” are furnishing this disclosure to you to provide some basic facts about the purchase and sale of securities on margin, and to alert you to the risks involved with trading securities in a margin account. Prior to trading stocks in a margin account, you should carefully review the TD Ameritrade Singapore Pte. Ltd. Client Agreement. At any time you may consult with a TD Ameritrade Singapore Pte. Ltd. Appointed Representative regarding any questions or concerns you may have with your margin account.

When you purchase securities, you may pay for the securities in full or you may borrow part of the purchase price from your brokerage firm. If you choose to borrow funds from the firm, you must open a margin account. The securities purchased are the firm’s collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan, and, as a result, the firm can take action, such as issue a margin call and/or sell securities in your account, in order to maintain the required equity in the account. The sell-out will incur a fee plus the broker commission.

It is important that you fully understand the risks involved in trading securities on margin. These risks include, but are not limited to, the following:

1. **You can lose more funds than you deposit in the margin account.** A decline in the value of the securities that are purchased on margin may require you to provide additional funds to the firm to avoid the forced sale of those securities or other securities in your account.
2. **The firm can force the sale of securities in your account.** If the equity in your account falls below the margin maintenance level required by law, or the firm’s higher “house” requirements, the firm can sell the securities in your account to cover the margin deficiency. You will also be responsible for any short fall in the account after such a sale.
3. **The firm can sell your securities without contacting you.** Some investors mistakenly believe that a firm must contact them for a margin call to be valid, and that the firm cannot liquidate securities in their accounts to meet the call unless the firm has contacted them first. This is not the case. Most firms will attempt to notify their clients of margin calls, but they are not required to do so. However, even if a firm has contacted a client and provided a specific date by which the client can meet a margin call, the firm can still take necessary steps to protect its financial interests, including immediately selling or buying back securities without notice to the client.
4. **You are not entitled to choose which security in your margin account is liquidated or sold to meet a margin call.** The securities are collateral for the margin loan, the firm has the right to decide which security to sell or liquidate to protect its financial interests.
5. **The firm can increase its “house” requirements at any time and is not required to provide you advance written notice.** These changes in firm policy often take effect immediately and may result in the issuance of a maintenance margin call. Your failure to satisfy the call may cause the firm to liquidate or sell securities in your account.
6. **You are not entitled to an extension of time on a margin call.** While an extension of time to meet margin requirements may be available to clients under certain conditions, a client does not have the right to an extension.

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Please log in to your account and ensure all account information is accurate.

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